

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF WASHINGTON

Aug 23, 2018  
SEAN F. McAVOY, CLERK

NALCO COMPANY,

Plaintiff,

v.

DAVID DIXON,

Defendant.

No. 2:18-CV-00203-SMJ

**PROTECTIVE ORDER**

Before the Court, without oral argument, is Plaintiff Nalco Company's Unopposed Motion for a Stipulated Protective Order, ECF No. 4, and related motion to expedite, ECF No. 6. Upon being ordered by the Court to clarify some terms, ECF No. 7, the parties filed a Joint Supplemental Brief to Clarify the Stipulated Protective Order, ECF No. 8. Having reviewed the pleadings and the file in this matter, the Court is fully informed and finds that good cause exists to grant the motions.

Accordingly, **IT IS HEREBY ORDERED:**

1. Plaintiff Nalco Company's Unopposed Motion for a Stipulated Protective Order, **ECF No. 4**, and related motion to expedite, **ECF No. 6**, are **GRANTED**.

- 1       2. This Order shall govern the use, handling, and disclosure of all  
2       documents, testimony, or information produced or given in this action  
3       that are designated to be subject to this Order in accordance with the  
4       terms hereof.
- 5       3. Any party or non-party producing or filing documents or other  
6       materials in this action may designate such materials and the  
7       information contained therein subject to this Order by typing or  
8       stamping on the front of the document, or on the portion(s) of the  
9       document for which confidential treatment is designated,  
10       “Confidential.”
- 11       4. If a party or non-party producing documents in this action (a  
12       “Producing Party”) believes in good faith that, despite the provisions  
13       of this Protective Order, there is a substantial risk of identifiable harm  
14       to the Producing Party if particular documents it designates as  
15       “Confidential” are disclosed to any of the Parties to this action or to  
16       non-parties, the Producing Party may designate those particular  
17       documents as “Confidential—Attorneys’ Eyes Only.”
- 18       5. The parties agree to designate Confidential Information on a good faith  
19       basis and not for purposes of improperly impeding a non-designating  
20       party’s access to information concerning the action. If any party

1 believes that a document, information, or other material that has been  
2 designated Confidential Information does not contain Confidential  
3 Information, the party will notify the designating party of its objection  
4 to the “Confidential” or “Confidential—Attorneys’ Eyes Only”  
5 designation. The parties shall meet and confer in an attempt to reach  
6 an agreement regarding the confidential status of the document,  
7 material, and/or tangible item after the objecting party has provided  
8 written notice to the designating party of its objection.

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6. If the Parties cannot resolve the objection within **ten (10) business days** after the time the notice is received, the designating party shall file within **twenty (20) calendar days** after counsel meet and confer a motion for a protective order prohibiting disclosure of any matter the objecting party asserts was improperly identified as a Confidential Information or Confidential—Attorneys’ Eyes Only. Any disputed documents, materials and/or tangible items must be treated as a Confidential Information or Confidential—Attorneys’ Eyes Only under this Protective Order until entry of a Court order ruling otherwise.
  7. With respect to any depositions that involve a disclosure of Confidential Information of a party to this action, such party shall have

1 until **twenty (20) days** after receipt of the deposition transcript within  
2 which to inform all other parties that portions of the transcript are to  
3 be designated Confidential, which period may be extended by  
4 agreement of the parties. No such deposition transcript shall be  
5 disclosed to any individual other than the persons listed in Paragraph  
6 11(a)–(g) and Paragraph 12(a)–(e) (a “Qualified Person”) and the  
7 deponent during these twenty (20) days, and no individual attending  
8 such a deposition shall disclose the contents of the deposition to any  
9 individual other than to Qualified Persons during said twenty (20)  
10 days. Upon being informed that certain portions of a deposition are to  
11 be designated as Confidential, all parties shall immediately  
12 appropriately mark each copy of the transcript in its custody or control  
13 and limit disclosure of that transcript in accordance with this Order.

- 14 8. The procedures set forth in Paragraphs 5, 6, and 7 above shall apply to  
15 designations by non-parties, who may seek appropriate relief from the  
16 Court pursuant to this Order.
- 17 9. To the extent any motions, briefs, pleadings, deposition transcripts, or  
18 other papers to be filed with the Court incorporate documents or  
19 information subject to this Order, the party filing such papers shall  
20 designate such materials, or portions thereof, as “Confidential,” or

1           “Confidential—Attorneys’ Eyes Only” and shall file them with the  
2           clerk under seal, provided, however, that a copy of such filing having  
3           the confidential information deleted therefrom may be made part of the  
4           public record.

5           10. All documents, transcripts, or other materials subject to this Order, and  
6           all information derived therefrom, shall not be used, directly or  
7           indirectly, by any person, for any business, commercial, or competitive  
8           purposes or for any purpose whatsoever other than solely for the  
9           preparation and trial of this action in accordance with the provisions of  
10           this Order. This includes, but is not limited to, all testimony given in a  
11           deposition, declaration, or otherwise, that refers, reflects, or otherwise  
12           discusses any information designated “Confidential” or  
13           “Confidential—Attorneys’ Eyes Only.”

14           11. Except with the prior written consent of the individual or entity  
15           designating a document or portions of a document as “**Confidential**,”  
16           or pursuant to prior Order after notice, any document, transcript, or  
17           pleading given “Confidential” treatment under this Order, and any  
18           information contained in, or derived from any such materials  
19           (including but not limited to, all deposition testimony that refers to,  
20           reflects, or otherwise discusses any information designated

1 “Confidential” hereunder) may not be disclosed other than in  
2 accordance with this Order and may not be disclosed to any person  
3 other than: (a) the Court and its officers; (b) court reporters, their staff,  
4 and professional vendors to whom disclosure is reasonably necessary  
5 for this litigation; (c) parties to this litigation; (d) counsel for the  
6 parties, whether retained outside counsel or in-house counsel and  
7 employees of counsel assigned to assist such counsel in the preparation  
8 of this litigation; (e) fact witnesses subject to a proffer to the Court or  
9 a stipulation of the parties that such witnesses need to know such  
10 information; (f) present or former employees of the Producing Party in  
11 connection with their depositions in this action (provided that no  
12 former employees shall be shown documents prepared after the date of  
13 their departure); and (g) experts specifically retained as consultants or  
14 expert witnesses in connection with this litigation.

- 15       12. Except with the prior written consent of the individual or entity  
16       designating a document or portions of a document as “**Confidential—**  
17       **Attorneys’ Eyes Only**,” or pursuant to prior Order after notice, any  
18       document, transcript, or pleading given “Confidential—Attorneys’  
19       Eyes Only” treatment under this Order, and any information contained  
20       in, or derived from any such materials (including, but not limited to,

1 all deposition testimony that refers to, reflects, or otherwise discusses  
2 any information designated “Confidential—Attorneys’ Eyes Only”  
3 hereunder) may not be disclosed other than in accordance with this  
4 Order and may not be disclosed to any person other than: (a) a party’s  
5 retained outside counsel of record in this action, as well as employees  
6 of said outside counsel to whom it is reasonably necessary to disclose  
7 the information for this litigation and who have signed the  
8 “Declaration of Compliance” that is attached hereto as **Exhibit A**; (b)  
9 experts specifically retained as consultants or expert witnesses in  
10 connection with this litigation who have signed the “Declaration of  
11 Compliance” (Exhibit A); (c) the Court and its personnel; (d) court  
12 reporters, their staff, and professional vendors to whom disclosure is  
13 reasonably necessary for this litigation and who have signed the  
14 “Declaration of Compliance” (Exhibit A); and (e) the author of the  
15 document or the original source of the information.

- 16 13. Documents produced pursuant to this Order shall not be made  
17 available to any person designated in Subparagraphs 11(g) or 12(b)  
18 unless he or she shall have first read this Order, agreed to be bound by  
19 its terms, and signed the “Declaration of Compliance” (Exhibit A).

14. All persons receiving any or all documents produced pursuant to this Order shall be advised of their confidential nature. All persons to whom confidential information and/or documents are disclosed are hereby enjoined from disclosing the same to any person except as provided herein, and are further enjoined from using the same except in the preparation for and trial of this action between the named parties thereto. No person receiving or reviewing such confidential documents, information, or transcript shall disseminate or disclose them to any person other than those described above in Paragraph 11 and Paragraph 12 and for the purposes specified, and in no event, shall such person make any other use of such document or transcript.

15. Nothing in this Order shall prevent a party from using at trial any information or materials designated “Confidential” or “Confidential—Attorneys’ Eyes Only.”

16. This Order has been agreed to by the parties to facilitate discovery and the production of relevant evidence in this action. Neither the entry of this Order, nor the designation of any information, document, or the like as “Confidential,” or “Confidential—Attorneys’ Eyes Only” nor the failure to make such designation, shall constitute evidence with respect to any issue in this action.

17. The inadvertent disclosure or production of any information or document that is subject to an objection on the basis of attorney-client privilege or work-product protection will not be deemed to waive a party's claim to its privileged or protected nature or estop that party or the privilege holder from designating the information or document as attorney-client privileged or subject to the work product doctrine at a later date. Any party receiving any such information or document shall return it upon request from the Producing Party. Upon receiving such a request as to specific information or documents, the receiving party shall return the information or documents to the Producing Party within **five (5) business days**, regardless of whether the receiving party agrees with the claim of privilege and/or work-product protection. Disclosure of information or documents by a receiving party prior to such later designation shall not in and of itself be deemed a violation of the provisions of this Order. This Order shall be governed by Federal Rule of Evidence 502(d).
  18. Within **sixty (60) days** after the final termination of this litigation, all documents, transcripts, or other materials afforded confidential treatment pursuant to this Order, including any extracts, summaries or compilations taken therefrom, but excluding any materials which in

1 the good faith judgment of counsel are work product materials, shall  
2 be returned to the Producing Party.

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- 19.** In the event that any party to this litigation disagrees at any point in these proceedings with any designation made under this Protective Order, the parties shall first try to resolve such dispute in good faith on an informal basis. If the dispute cannot be resolved, the party objecting to the designation may seek appropriate relief from this Court. During the pendency of any challenge to the designation of a document or information, the designated document or information shall continue to be treated as “Confidential” or “Confidential—Attorneys’ Eyes Only” subject to the provisions of this Protective Order.
- 20.** The procedures set forth shall apply to documents and information produced by non-parties and documents produced by Defendant David Dixon containing confidential proprietary information of non-parties, who may seek appropriate relief from the Court pursuant to this Order.
- 21.** Nothing herein shall affect or restrict the rights of any party or non-party with respect to its own documents or to the information obtained or developed independently of documents, transcripts, and materials afforded confidential treatment pursuant to this Order.

22. The Court retains the right to allow disclosure of any subject covered by this stipulation or to modify this stipulation at any time in the interest of justice.

**IT IS SO ORDERED.** The Clerk's Office is directed to enter this Order and provide copies to all counsel.

**DATED** this 23rd day of August 2018.

Salvador Mendoza Jr.  
**SALVADOR MENDEZ, JR.**  
United States District Judge

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**EXHIBIT**  
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## EXHIBIT A

## DECLARATION OF COMPLIANCE

I, \_\_\_\_\_, declare as follows:

1. My address is \_\_\_\_\_.
  2. My present employer is \_\_\_\_\_.
  3. My present occupation or job description is \_\_\_\_\_.
  4. I have received a copy of the Stipulated Protective Order entered in this action on \_\_\_\_\_, 20\_\_\_\_.
  5. I have carefully read and understand the provisions of this Stipulated Protective Order.
  6. I will comply with all provisions of this Stipulated Protective Order.
  7. I will hold in confidence, and will not disclose to anyone not qualified under the Stipulated Protective Order, any information, documents or other materials produced subject to this Stipulated Protective Order.
  8. I will use such information, documents or other materials produced subject to this Stipulated Protective Order only for purposes of this present action.
  9. Upon termination of this action, or upon request, I will return and deliver all information, documents or other materials produced subject to this Stipulated Protective Order, and all documents or things which I have prepared relating to the information, documents or other materials that are subject to the

1 Stipulated Protective Order, to my counsel in this action, or to counsel for the  
2 party by whom I am employed or retained or from whom I received the  
3 documents.

- 4 10. I hereby submit to the jurisdiction of this Court for the purposes of enforcing  
5 the Stipulated Protective Order in this action.

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